

## Form No. MGT-9 EXTRACT OF ANNUAL RETURN

As on the financial year ended on 31/03/2020

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies  
(Management and Administration) Rules, 2014]

I REGISTRATION AND OTHER DETAILS:										
(i)	CIN	U65999KL2019SGC059305								
(ii)	Registration Date	21.08.2019								
(iii)	Name of the Company	<b>OVERSEAS KERALITES INVESTMENT AND HOLDING LIMITED</b>								
(iv)	Category / Sub-Category of the Company	State Government Company								
(v)	Address of the Registered office and contact details	Door No.2, 3rd Floor, Norka Centre, Norka Roots, Thycaud, Thiruvananthapuram - 695014, Kerala, India								
(vi)	Whether listed company Yes / No	No								
(vii)	(Name, Address and Contact details of Registrar and Transfer Agent, if any)	NA								
II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY										
All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-										
Sl. No.	Name and Description of main products /services	NIC Code of the Product/ service			% to total turnover of the company					
1.	-	-			-					
III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES										
Sl. No.	Name and Address of the company	CIN/GLN		Holding/Subsidiary/ Associate		% of Shares Held		Applicable Section		
IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)										
(i) Category-wise Share Holding										
Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year	
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares		
<b>A. Promoters</b>										
<b>(1) Indian</b>										
a)	Individual/ HUF	-	1	1	.01	-	1	1	.01	-
b)	Central Govt	-	-	-	-	-	-	-	-	-
c)	State Govt (s)	-	9999	9999	99.99	-	9999	9999	99.99	-
d)	Bodies Corp.	-	-	-	-	-	-	-	-	-
e)	Banks / FI	-	-	-	-	-	-	-	-	-
f)	Any other	-	-	-	-	-	-	-	-	-

	<b>Sub-total (A) (1)</b>	-	10000	10000	100	-	10000	10000	100	-
<b>(2) Foreign</b>										
a)	NRIs - Individuals	-	-	-	-	-	-	-	-	-
b)	Other Individuals	-	-	-	-	-	-	-	-	-
c)	Bodies Corp.	-	-	-	-	-	-	-	-	-
d)	Banks / FI	-	-	-	-	-	-	-	-	-
e)	Any Other....	-	-	-	-	-	-	-	-	-
	<b>Sub-total (A) (2)</b>	-	-	-	-	-	-	-	-	-
	<b>Total share- hold- ing of Promoter (A) = (A) (1)+(A)(2)</b>	-	10000	10000	100	-	10000	10000	100	-
<b>B. Public Shareholding</b>										
<b>1. Institutions</b>										
a)	Mutual Funds	-	-	-	-	-	-	-	-	-
b)	Banks / FI	-	-	-	-	-	-	-	-	-
c)	Central Govt	-	-	-	-	-	-	-	-	-
d)	State Govt(s)	-	-	-	-	-	-	-	-	-
e)	Venture	-	-	-	-	-	-	-	-	-
f)	Capital Funds	-	-	-	-	-	-	-	-	-
g)	Insurance Companies	-	-	-	-	-	-	-	-	-
h)	FIs	-	-	-	-	-	-	-	-	-
i)	Foreign- Venture Capital Funds	-	-	-	-	-	-	-	-	-
	<b>Sub-total (B)(1):-</b>	-	-	-	-	-	-	-	-	-
<b>2. Non-Institutions</b>										
a)	<b>Bodies Corp.</b>									
(i)	Indian	-	-	-	-	-	-	-	-	-
(ii)	Overseas	-	-	-	-	-	-	-	-	-
b)	<b>Individuals</b>									
(i)	Individual sharehold- ers holding nominal share capi- tal upto Rs. 1 lakh	-	-	-	-	-	-	-	-	-
(ii)	Individual Sharehold- ers holding nominal share capital in excess of Rs. 1 lakh	-	-	-	-	-	-	-	-	-
c)	Others Specify	-	-	-	-	-	-	-	-	-

<b>Sub-total (B) (2):-</b> Total Public Shareholding (B)=(B)(1)+(B)(2)	-	-	-	-	-	-	-	-	-
<b>C. Shares held by Custodian for GDRs &amp; ADRs</b>	-	-	-	-	-	-	-	-	-
<b>Grand Total (A+B+C)</b>	-	-	-	-	-	-	-	-	-

**(ii) Shareholding of Promoters**

SI No.	Shareholder's Name	Share holding at the beginning of the year			Share holding at the end of the year			% change in share holding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1	GOVERNOR OF KERALA Represented By Sri Ellangovan Kamala Kannan IAS Principal Secretary, Norka Department, Government Of Kerala	9999	99.99	-	9999	99.99	-	-
2	Sri Harikrishnan Nampoothiri, Chief Executive Officer, Norka Roots	1	.01	-	1	.01	-	-
<b>TOTAL</b>		<b>10000</b>	<b>100</b>	<b>-</b>	<b>10000</b>	<b>100</b>	<b>-</b>	<b>-</b>

**(iii) Change in Promoters' Shareholding ( please specify, if there is no change)**

SI No.	Shareholder's Name	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1.	GOVERNOR OF KERALA Represented By Sri Ellangovan Kamala Kannan IAS Principal Secretary, Norka Department, Government Of Kerala				
	At the beginning of the year	9999	99.99	9999	99.99
	Date wise Increase /Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	-	-	-	-
SI No.	Shareholder's Name	Share holding at the beginning of the year		Share holding at the end of the year	
2.	Sri. Harikrishnan Nampoothiri, Chief Executive Officer, Norka Roots	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	1	.01	1	.01
	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	-	-	-	-
	At the End of the year	1	.01	1	.01

<b>(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):</b>						
		Shareholding at the beginning of the year		Cumulative Shareholding during the year		
For Each of the Top 10 Shareholders		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company	
At the beginning of the year						
Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc):						
At the End of the year ( or on the date of separation, if separated during the year)						
<b>(v) Shareholding of Directors and Key Managerial Personnel:</b>						
Sl. No			Shareholding at the beginning of the year		Cumulative Shareholding during the year	
1	For Each of the Top 10 Shareholders		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
At the beginning of the year			-	-	-	-
Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc):		<b>NOT APPLICABLE</b>				
At the end of the year						
Sl. No			Shareholding at the beginning of the year		Cumulative Shareholding during the year	
2			No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
At the beginning of the year						
Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc):		<b>NOT APPLICABLE</b>				
At the End of the year						
<b>V. INDEBTEDNESS</b>						
Indebtedness of the Company including interest outstanding/accrued but not due for payment		Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness	
Indebtedness at the beginning of the financial year						
(i)	Principal Amount	-	-	-	-	
(ii)	Interest due but not paid	-	-	-	-	
(iii)	Interest accrued but not due	-	-	-	-	
<b>Total (i+ii+iii)</b>		-	-	-	-	
Change in Indebtedness during the financial year						
Addition		<b>NOT APPLICABLE</b>				
Reduction		<b>NOT APPLICABLE</b>				
<b>Net Change</b>		-	-	-	-	
Indebtedness at the end of the financial year						
(i)	Principal Amount	-	-	-	-	
(ii)	Interest due but not paid	-	-	-	-	
(iii)	Interest accrued but not due	-	-	-	-	
<b>Total (i+ii+iii)</b>		-	-	-	-	
<b>VI REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL</b>						
Remuneration to Managing Director, Whole-time Directors and/or Manager:						
Particulars of Remuneration	Name of MD/WTD/ Manager					
	Dr. Baju George Parappat	Mr.....	Mr.....	Total Amount		
Gross salary	6,30,000	-	-	-		
1. (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	-	-	-		
(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-	-		
(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-	-		
2. Stock Option	-	-	-	-		

3. Sweat Equity	-	-	-	-	
4. Commission - as % of profit	-	-	-	-	
- others, specify...	-	-	-	-	
5. Others, please specify	-	-	-	-	
Total (A)	6,30,000	-	-	-	
Ceiling as per the Act					
<b>B. Remuneration to other directors:</b>					
Particulars of Remuneration	Name of MD/WTD/ Manager				
	Mr.....	Mr.....	Mr.....	Total Amount	
<b>Independent Directors</b>					
Fee for attending board/committee meetings					
Commission					
Others, please specify					
<b>TOTAL (1)</b>					
<b>Other Non – Executive directors</b>					
Fee for attending board/committee meetings					
Commission					
Others, please specify	<b>NOT APPLICABLE</b>				
<b>TOTAL (2)</b>					
Total (B)=(1+2)					
Total Managerial Remuneration					
Overall Ceiling as per the Act					
<b>C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD</b>					
Particulars of Remuneration	Key Managerial Personnel				
	CEO	Company Secretary	CFO	Total Amount	
Gross salary					
(a) Salary as per provisions contained in section 17(1) of the					
1. Income-tax Act, 1961					
(b) Value of perquisites u/s 17(2)					
Income-tax Act, 1961					
(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961					
2. Stock Option					
3. Sweat Equity					
4. Commission - as % of profit					
- others, specify...					
5. Others, please specify					
Total					
<b>PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES</b>					
Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT / COURT]	Appeal made, if any (give details)
<b>A. COMPANY</b>					
Penalty					
Punishment					
Compounding		<b>NOT APPLICABLE</b>			
<b>B. DIRECTORS</b>					
Penalty					
Punishment					
Compounding					

<b>C. OTHER OFFICERS IN DEFAULT</b>				
Penalty		<b>NOT APPLICABLE</b>		
Punishment				
Compounding				